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London EC4N 6EU

Tel: +44 (0) 207 065 6600  
[info@marianacapital.com](mailto:info@marianacapital.com)

## LISTED FUTURE CONFIRMATION

**MCM Ticker No.** MC-000122693

**Client Name** ED&F MAN CAPITAL

**Trader Name** SARA MINA

**Trade Date** 06-Mar-2014

**Direction** SELL

**Primary Exchange** B CLEAR

**Quantity** 20,000

**Price** 50.736

**Instrument**

Underlying	Expiry	Listed Ticker	Price
TDC DC - TDC A/S	20-Jun-2014	TKF	50.736

**Levels** 8k @ 50.73 / 12k @ 50.74

**Commission** DKK 0.00

**Documentation** ISDA Master Agreement and trade Confirmations thereto.

**Applicable Law** Such law as specified in the ISDA Master Agreement between the parties. In the case where a legal issue has arisen before signing of the: ISDA Master Agreement, English Law shall be applicable.

**ISDA Definitions** 2002 ISDA Equity Derivatives Definitions & 2006 ISDA Definitions.

Both Buyer and Seller understand, accept and agree that Mariana Capital Markets LLP (MCM) has acted only as arranger in the transaction. MCM has taken details from both Buyer and Seller and believes the details of the transaction to be accurate, and do not hold ourselves responsible for the financial condition of any party we introduce. In the event of an error, please contact MCM within 24 hours of receipt of this confirmation. MCM and its affiliates will not be held responsible for any errors contained in the document which are not brought to our attention within this time and the parties to the transaction agree to hold MCM harmless from any dispute and/or action that may arise as a consequence of the transaction or if either of the parties are unable to enter into the transaction for any reason. This material has been prepared by Mariana Capital Markets LLP, authorised and regulated by the Financial Services Authority.

CONFIDENTIAL

ED&F-00040971

## Charlotte Woodward

---

**From:** Liddard, Ross (LDN) <rliddard@edfmancapital.com>  
**Sent:** 06 March 2014 16:49  
**To:** 'dh@lutetiacapital.com'  
**Cc:** Schofield, Paul (LDN); Regan, Paul (LDN)  
**Subject:** Confirm  
**Attachments:** 06032014 LUTETIA CONFIRM 2,000,000 TDC DC1.pdf; 06032014 LUTETIA CONFIRM 2,000,000 TDC DC2.pdf; 06032014 LUTETIA CONFIRM 2,000,000 TDC DC3.pdf

Hello David/Hugues,

Please find confirms attached.

TRADE DATE: 06-MAR

VALUE DATE: 12-MAR

Much apprecaited

Ross D Liddard  
Equity Finance Broker



ED&F Man Capital Markets  
Cottons Centre, Hays Lane, London, SE1 2QE  
Direct: +44 (0)20 3580 7639  
Mobile: +44 (0)7789 263 785

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**ED&F IDB Equity Confirmation****Trade date** 06-Mar**Value date** 12-Mar**SELLER** LUTETIA CAPITAL**Underlying** TDC A/S  
DK0060228559**Quantity** 2,000,000**Currency** DKK**Price** 52.55**Net Price** 52.55**Gross Notional** 105,100,000.00**Net Notional** 105,100,000.00

For queries contact Paul Schofield ([pschofield@edfmancapital.com](mailto:pschofield@edfmancapital.com)) Ross Liddard ([rliddard@edfmancapital.com](mailto:rliddard@edfmancapital.com)) or Paul Regan ([pregan@edfmancapital.com](mailto:pregan@edfmancapital.com))

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Firm	Date Basis	Ticket Sequence	ExtRefID	Trade Date	Entry Date	Settlement Date	Trade Account	Product Type	AssetAlias1	Trade Currency	Ticket State	Trade Transaction	Quantity	Trade Proceeds	Final Price	Asset Alias4	Master Account
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## SWIFT Information

Msg Type	Direction	Details (38)																																																																																																																					
545	From Swift	Show Groups																																																																																																																					
Status	Loc ID	<table border="1"> <thead> <tr> <th>Index</th><th>Tag</th><th>Value</th></tr> </thead> <tbody> <tr><td>1</td><td>1 16R</td><td>GENL</td></tr> <tr><td>2</td><td>2 20C</td><td>:SEME//PG307CZU8A001</td></tr> <tr><td>3</td><td>3 23G</td><td>NEWM</td></tr> <tr><td>4</td><td>4 98C</td><td>:PREP//20140310072810</td></tr> <tr><td>5</td><td>5 16R</td><td>LINK</td></tr> <tr><td>6</td><td>6 13A</td><td>:LINK//541</td></tr> <tr><td>7</td><td>7 20C</td><td>:RELA//E1000026930001</td></tr> <tr><td>8</td><td>8 16S</td><td>LINK</td></tr> <tr><td>9</td><td>9 16S</td><td>GENL</td></tr> <tr><td>10</td><td>10 16R</td><td>TRADDET</td></tr> <tr><td>11</td><td>11 88A</td><td>:TRAD//20140305</td></tr> <tr><td>12</td><td>12 88A</td><td>:ESET//20140310</td></tr> <tr><td>13</td><td>13 35B</td><td>ISBN DK0060228559</td></tr> <tr><td>14</td><td>14 000</td><td>TDC SHS</td></tr> <tr><td>15</td><td>15 16S</td><td>TRADDET</td></tr> <tr><td>16</td><td>16 16R</td><td>FIAC</td></tr> <tr><td>17</td><td>17 36B</td><td>:ESTT//UNIT//1000002</td></tr> <tr><td>18</td><td>18 97A</td><td>:SAFE//4132900001000077823F</td></tr> <tr><td>19</td><td>19 97A</td><td>:CASH//41329000010000724798K</td></tr> <tr><td>20</td><td>20 94F</td><td>:SAFE//CUST//DEADKK00XX</td></tr> <tr><td>21</td><td>21 16S</td><td>FIAC</td></tr> <tr><td>22</td><td>22 16R</td><td>SETDET</td></tr> <tr><td>23</td><td>23 22F</td><td>:SETR//TRAD</td></tr> <tr><td>24</td><td>24 16R</td><td>SETPRTY</td></tr> <tr><td>25</td><td>25 95P</td><td>:DEAG//ESSED00000X</td></tr> <tr><td>26</td><td>26 16S</td><td>SETPRTY</td></tr> <tr><td>27</td><td>27 16R</td><td>SETPRTY</td></tr> <tr><td>28</td><td>28 85P</td><td>:SELL//RVTBEBBXXX</td></tr> <tr><td>29</td><td>29 97A</td><td>:SAFE//05295114373</td></tr> <tr><td>30</td><td>30 16S</td><td>SETPRTY</td></tr> <tr><td>31</td><td>31 16R</td><td>SETPRTY</td></tr> <tr><td>32</td><td>32 85P</td><td>:PSET//VPDKDK00XX</td></tr> <tr><td>33</td><td>33 16S</td><td>SETPRTY</td></tr> <tr><td>34</td><td>34 16R</td><td>AMT</td></tr> <tr><td>35</td><td>35 19A</td><td>:ESTT//D10452900680,</td></tr> <tr><td>36</td><td>36 98A</td><td>:VALU//20140310</td></tr> <tr><td>37</td><td>37 16S</td><td>AMT ED&amp;F-00040977</td></tr> <tr><td>38</td><td>38 16S</td><td>SETDET</td></tr> </tbody> </table>	Index	Tag	Value	1	1 16R	GENL	2	2 20C	:SEME//PG307CZU8A001	3	3 23G	NEWM	4	4 98C	:PREP//20140310072810	5	5 16R	LINK	6	6 13A	:LINK//541	7	7 20C	:RELA//E1000026930001	8	8 16S	LINK	9	9 16S	GENL	10	10 16R	TRADDET	11	11 88A	:TRAD//20140305	12	12 88A	:ESET//20140310	13	13 35B	ISBN DK0060228559	14	14 000	TDC SHS	15	15 16S	TRADDET	16	16 16R	FIAC	17	17 36B	:ESTT//UNIT//1000002	18	18 97A	:SAFE//4132900001000077823F	19	19 97A	:CASH//41329000010000724798K	20	20 94F	:SAFE//CUST//DEADKK00XX	21	21 16S	FIAC	22	22 16R	SETDET	23	23 22F	:SETR//TRAD	24	24 16R	SETPRTY	25	25 95P	:DEAG//ESSED00000X	26	26 16S	SETPRTY	27	27 16R	SETPRTY	28	28 85P	:SELL//RVTBEBBXXX	29	29 97A	:SAFE//05295114373	30	30 16S	SETPRTY	31	31 16R	SETPRTY	32	32 85P	:PSET//VPDKDK00XX	33	33 16S	SETPRTY	34	34 16R	AMT	35	35 19A	:ESTT//D10452900680,	36	36 98A	:VALU//20140310	37	37 16S	AMT ED&F-00040977	38	38 16S	SETDET
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Transaction Ref	Purpose																																																																																																																						
PG307CZU8A001	N/A																																																																																																																						
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Trailer Hdr	User Hdr																																																																																																																						
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Memo																																																																																																																							

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## SWIFT Information

## Msg Type

545

## Status

Closed

## Related Ref

N/A

## Transaction Ref

PG311BHU5A001

## Asset Series

269191

## Reason Code

N/A

## Trailer Hdr

{MAC:00000000}{CHK:E8ECF3C20BB5}

## Basic Hdr

F01MACVGB22A/XXX0610990882

## Load User

SHSYSTEM

## Load Port

S608207NJ3VW07

## Proc Date

[NULL]

## Duration

N/A

## ACK Date

[NULL]

## File Name

06844578.TXT

## Memo

## Direction

From Swift

## Loc ID

N/A

## Loc SWIFT ID

N/A

## Purpose

N/A

## Curr Series

269190

## External ID

N/A

## User Hdr

{108:ISI41907102B0000}

## App Hdr

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## Load Date

12-03-2014 01:00:48

## Proc User

N/A

## Proc Port

N/A

## Instruction Date

N/A

## OATS

## OATS Sent

## Details (38)

## Show Groups

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3	3 23G	NEWM
4	4 98C	:PREP//20140312013452
5	5 16R	LINK
6	6 13A	:LINK//541
7	7 20C	:RELA//E100026919101
8	8 16S	LINK
9	9 16S	GENL
10	10 16R	TRADDET
11	11 98A	:TRAD//20140306
12	12 98A	:ESET//20140312
13	13 36B	ISBN:DK080228569
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15	15 16S	TRADDET
16	16 16R	FAC
17	17 36B	:ESTT//UNIT//200000
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19	19 97A	:CASH//41329000010000724798K
20	20 94F	:SAFE//CUST//DEADK1GOOX
21	21 16S	FAC
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23	23 22F	:SETR//TRAD
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36	36 98A	:VALU//20140312
37	37 16S	ED&F-00040978
38	38 16S	SETDET

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## SWIFT Information

**Msg Type**

545

**Status**

Closed

**Related Ref**

N/A

**Transaction Ref**

PG311BIE0A001

**Asset Series**

270710

**Reason Code**

N/A

**Trailer Hdr**

(MAC:00000000)(CHK:1A4B9571CE0A)

**Basic Hdr**

F01MACVGB22AX00X0610993904

**Load User**

SHSYSTEM

**Load Port**

S608207NJ3W07

**Proc Date**

[NULL]

**Duration**

N/A

**ACK Date**

[NULL]

**File Name**

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**Memo****Direction**

From Swift

**Loc ID**

N/A

**Loc SWIFT ID**

N/A

**Purpose**

N/A

**Curr Series**

270708

**External ID**

N/A

**User Hdr**

(108:ISI4190710GT4000)

**App Hdr**

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**Load Date**

12-03-2014 07:32:14

**Proc User**

N/A

**Proc Port**

N/A

**Instruction Date**

N/A

**OATS** **OATS Sent** **Details (38)****Show Groups**

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3	3 23G	NEWM
4	4 98C	:PREP//20140312122612
5	5 16R	LINK
6	6 13A	:LINK/541
7	7 20C	:RELA//E1000027071001
8	8 16S	LINK
9	9 16S	GENL
10	10 16R	TRADDET
11	11 98A	:TRAD//20140306
12	12 98A	:ESET//20140312
13	13 36B	ISBN DK0080228559
14	14 000	TDC SHS
15	15 16S	TRADDET
16	16 16R	FIAC
17	17 36B	:ESTT//UNIT//200008
18	18 97A	:SAFE//41329000010000778523F
19	19 97A	:CASH//41329000010000724796K
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21	21 16S	FIAC
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33	33 16S	SETPRTY
34	34 16R	AMT
35	35 19A	:ESTT//DK00105101320,
36	36 98A	:ED&F-00040979
37	37 16S	AMT
38	38 16S	SETDET

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ED&F Man Capital Markets Ltd  
 3 London Bridge Street  
 London SE1 9SG  
 United Kingdom

Account Number: CC:AMERIC-INVGR  
 Account Name : American Investment Group of NY  
 Date : 07-03-2014  
 Currency : USD

## Cash Summary

Cur Layer	Trade Date	Amount	Conv Rate	TD Reporting Amt (USD)	Settle Date	Amount	Conv Rate	SD Reporting Amt (USD)
DKK Cash	439,990,770.00 Dr	0.18593236	81,808,522.25 Dr	177,187,470.00 Dr	0.18593236	32,944,684.46 Dr		
DKK Var Margin	3,578,200.00 Cr	0.18593236	665,303.17 Cr	3,578,200.00 Cr	0.18593236	665,303.17 Cr		
EUR Cash	10,908.00 Dr	1.38750000	15,134.85 Dr	10,908.00 Dr	1.38750000	15,134.85 Dr		
EUR SEG CASH A	109,684.84 Cr	1.38750000	152,187.72 Cr	109,684.84 Cr	1.38750000	152,187.72 Cr		
USD Cash	8,879,581.50 Dr	1.00000000	8,879,581.50 Dr	8,879,581.50 Dr	1.00000000	8,879,581.50 Dr		
USD SEG CASH A	199,238.41 Cr	1.00000000	199,238.41 Cr	199,238.41 Cr	1.00000000	199,238.41 Cr		
			=====	=====	=====	=====		
				89,686,509.30 Dr				40,822,871.51 Dr

## Trade Date Positions (Equity) (DKK)

Asset	Description	L	Position	Trd Price	Market Price	Original Value	Market Value	Unrealized G/L Cur
COLOB DC	COLOPLAST-B	C	500,000.00 Lg	354.9044	447.2000	177,452,220.00 Dr	223,600,000.00 Cr	46,147,780.00 Cr DKK
TDC DC	TDC A/S	C	5,000,000.00 Lg	52.5607	50.0000	262,803,300.00 Dr	250,000,000.00 Cr	12,803,300.00 Dr DKK
				Totals:	440,255,520.00 Dr	473,600,000.00 Cr		33,344,480.00 Cr

## Trade Date Positions (Equity) (EUR)

Asset	Description	L	Position	Trd Price	Market Price	Original Value	Market Value	Unrealized G/L Cur
SOLB BB	SOLVAY SA	C	800,000.00 Lg	108.4014	113.9500	86,721,088.00 Dr	91,160,000.00 Cr	4,438,912.00 Cr EUR
				Totals:	86,721,088.00 Dr	91,160,000.00 Cr		4,438,912.00 Cr

## Trade Date Positions (Equity) (USD)

Asset	Description	L	Position	Trd Price	Market Price	Original Value	Market Value	Unrealized G/L Cur
VEON US	VEON LTD	C	684,000.00 Lg	12.9902	8.9900	8,885,269.44 Dr	6,149,160.00 Cr	2,736,109.44 Dr USD
				Totals:	8,885,269.44 Dr	6,149,160.00 Cr		2,736,109.44 Dr

## Trade Date Positions (OTC-OPTIONS) (EUR)

Asset	Description	L	Position	Trd Price	Market Price	Original Value	Market Value	Unrealized G/L Cur
SOLB BB	SOLVAY SA	C	8,000.00 Sh	103.3500	113.9400	82,680,000.00 Cr	91,152,000.00 Dr	8,472,000.00 Dr EUR
				Totals:	82,680,000.00 Cr	91,152,000.00 Dr		8,472,000.00 Dr

## Trade Date Futures Positions (DKK)

Asset	Description	Position	Trd Price	Market Price	Orig Notional	Market Value	Open Trd Equity Cur
TKF20JUN2014	TKF20JUN2014BCLEAR	30,000.00 Sh	50.7861	50.0200	152,358,200.00 Cr	150,060,000.00 Dr	2,298,200.00 Cr DKK

ED&F Man Capital Markets Ltd  
3 London Bridge Street  
London SE1 9SG  
United Kingdom

Account Number: CC:AMERIC-INVGR  
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Date : 07-03-2014  
Currency : USD

**Trade Date Futures Positions (DKK) (Con't)**

Asset	Description	Position	Trd Price	Market Price	Orig Notional	Market Value	Open Trd Equity	Curr
TKF21MAR2014	TKF21MAR2014BCLEAR	20,000.00 Sh	50.6400	50.0000	101,280,000.00 Cr	100,000,000.00 Dr	1,280,000.00 Cr	DKK
					253,638,200.00 Cr	250,060,000.00 Dr	3,578,200.00 Cr	DKK

### Pending Trades (DKK)

Trade Dt	Settl Dt	Type	Trn	Quantity	Asset	Trd Price	Proceeds	Cur
06-03-14	12-03-14	Normal	BUY	2,000,000.00	TDC DC	52.4007	104,801,320.00	Dr DKK
05-03-14	10-03-14	Normal	BUY	1,000,000.00	TDC DC	52.9007	53,900,660.00	Dr DKK
06-03-14	12-03-14	Normal	BUY	2,000,000.00	TDC DC	52.5507	105,101,320.00	Dr DKK

## Equity Swaps

Tick	Seq	Trade Dt	Term Dt	Asset Description	Quantity	D	Open Price	Notional	Cur	Market Price	MTM Valuation	Next Reset	Rate Description	Rate	Accrued Financing
		Set Dt													
203304	20-12-13		27-12-13	COLOB DC COLOPLAST-B	500,000	S	353.2000	176,600,000	DKK	447.2000	-47,000,000		Fixed	0.0000	
172560	12-11-13		15-11-13	VEON US VEON LTD	684,000	S	12.9900	8,885,160	USD	8.9900	2,736,000		Fixed	0.0000	

## Account Summary

<b>Item</b>	<b>Amount (USD)</b>
Value of opening currency balance	41,231,922.70 Dr
Value of trades settling today	9,838,095.18 Dr
Value of Rec/Del today	0.00 Cr
Value of Deposits/Withdrawls today	10,247,146.37 Cr
<b>Value of closing currency balance(s)</b>	<b>40,822,871.51 Dr</b>
Cash Collateral Pending Settlement	0.00 Cr
Financed Cash Pending Settlement	0.00 Cr
NonFX Proceeds Pending Settlement	48,863,637.79 Dr
<b>Trade Date Cash Balance</b>	<b>89,686,509.30 Dr</b>
Market Value of Positions	94,217,825.70 Cr
Mkt Val of Unsettled Fin Positions	0.00 Cr
Mkt Val of Settled Fin Positions	0.00 Cr
Mkt Val of Unsettled Fin Collateral	0.00 Cr
Mkt Val of Settled Fin Collateral	0.00 Cr
Net Value of Financing Interest	0.00 Cr
Open Trade Equity on FX Deals	0.00 Cr
Accrued Interest on Fixed Income	0.00 Cr
Value of Open Swap Positions	6,002,820.92 Dr
<b>Preliminary Account Value</b>	<b>1,471,504.52 Dr</b>

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ED&F Man Capital Markets Ltd  
 3 London Bridge Street  
 London SE1 9SG  
 United Kingdom

Account Number: CC:AMERIC-INVGR  
 Account Name : American Investment Group of NY  
 Date : 07-03-2014  
 Currency : USD

### Margin Summary

Margin Req (Reg-T: Initial)	0.00 Cr
Margin Req (Reg-T: Maintenance)	0.00 Cr
Margin Req (Future Initial)	2,379,934.21 Dr
Margin Req (FOREX)	0.00 Cr
Margin Req (Minimum Equity)	0.00 Cr
<b>Margin Req (Total)</b>	<b>2,379,934.21 Dr</b>

### Futures Initial Requirement

Cur Requirement	Requirement (USD)	Conv Rate
DKK 12,800,000.00 Dr	2,379,934.21 Dr	0.18593
		2,379,934.21 Dr

**Total Account Value** 3,851,438.73 Dr

### Financing Summary

Unsettled Value of collateral at close	0.00 Cr
Unsettled Financing Valuation	0.00 Cr
<b>Unsettled Financing Excess at close</b>	<b>0.00</b>
Settled Value of collateral at close	0.00 Cr
Settled Financing Valuation	0.00 Cr
<b>Settled Financing Excess at close</b>	<b>0.00</b>
<b>Total Financing Excess at close</b>	<b>0.00</b>

TDC A/S

TDC DC

ISIN: DK0060228559		SEDOL: 5698790				COUNTRY: DK		
		EX DATE:	07/03/2014	REC DATE:	11/03/2014	PAY DATE:	12/03/2014	
		CCY:	DKK	GROSS RATE:	2.20	FEE:	0.00	
<b>AGENT POSITIONS</b>								
CLIENT ACCOUNT	CLIENT NAME	DIR %	LONG HLDNG	SHORT HLDNG	PAYABLE	RECEIVABLE	CLAIM?	NOTES
CC:AMERIC-INVGR	AMERICAN INVESTMENT GROUP OF NY LP PENSION PLAN	73%	1,000,000 ▲		1,606,000.00			
CC:AUTOPA-AUTOP	Autoparts Pensions Group Trust	73%	2,069,400 ▲		3,323,456.40			
CC:BLUEGR-BLUEG	Bluegrass Retirement Group Trust	73%	828,000 ▲		1,329,768.00			
CC:BLUELL-BLUE	BlueGrass Investment Management LLC Retirement Plan	73%	2,604,000 ▲		4,182,024.00			
CC:CASTPE-GRPTR	Casting Pensions Group Trust	73%	1,853,700 ▲		2,977,042.20			
CC:CTECH0-00000	Central Technologies Pensions Group Trust	73%	980,900 ▲		1,573,880.00			
CC:CUBXBS-00000	Cubix Managers Limited Partnerships	73%	4,985,000 ▲		8,021,970.00			
CC:INDUST-PENGP	Industrial Pension Group Trust	73%	2,136,100 ▲		3,430,576.60			
CC:KAMCO-L-PPRD	KAMCO LP PROFIT SHARING PLAN FBO	73%	1,000,000 ▲		1,606,000.00			
CC:SVHOLD-SVHOL	SV Holdings LLC Retirement Plan	73%	1,678,600 ▲		2,895,831.60			
CC:TEWLILC-TEW00	Tew Enterprises LLC Retirement Plan	73%	2,857,000 ▲		5,588,342.00			
CC:TEWLPO-TEWLP	Tew LP Retirement Plan	73%	2,843,200 ▲		4,566,179.20			
LC:GLOBAL-EQY01	BNP Paribas Depot 778523F	73%		-26,835,000				
CC:AMERIC-INVGR	AMERICAN INVESTMENT GROUP OF NV LP PENSION PLAN	73%	4,000,000 ▲		6,424,000.00			
SB:VOLCAF-ICBC0	Volcafe Limited - ICBC	73%		-2,806,000		-3,212,000.00		
CC:AUTOPA-AUTOP	Autoparts Pensions Group Trust	73%	1,635,600 ▲		2,626,773.60		YES	
SB:VOLCAF-ICBC0	Volcafe Limited - ICBC	73%		-1,137,600		-1,826,995.60	YES	
CC:BLUEGR-BLUEG	Bluegrass Retirement Group Trust	73%	782,000 ▲		1,255,892.00			
SB:VOLCAF-ICBC0	Volcafe Limited - ICBC	73%		-179,000		-106,193.00	YES	
CC:BLUELL-BLUE	BlueGrass Investment Management LLC Retirement Plan	73%	1,644,000 ▲		2,646,264.00			
SB:VOLCAF-ICBC0	Volcafe Limited - ICBC	73%		-832,000		-725,912.00	YES	
CC:CAMBRN-401KP	Cambridge Way LLC 401(K) Profit Sharing Plan	73%	8,000,000 ▲		12,848,000.00			
SB:VOLCAF-ICBC0	Volcafe Limited - ICBC	73%		-8,001,000		-12,848,000.00		
CC:CASTPE-GRPTR	Casting Pensions Group Trust	73%	1,548,700 ▲		2,487,212.20			
SB:VOLCAF-ICBC0	Volcafe Limited - ICBC	73%		-803,700		-1,290,742.20	YES	
CC:CTECH0-00000	Central Technologies Pensions Group Trust	73%	590,000 ▲		947,540.00			
SB:VOLCAF-ICBC0	Volcafe Limited - ICBC	73%		-200,000		-331,200.00	YES	
CC:DW0000-CONST	DW CONSTRUCTION INC RETIREMENT PLAN	73%	3,300,000 ▲		5,299,800.00			
SB:VOLCAF-ICBC0	Volcafe Limited - ICBC	73%		-3,300,000		-5,299,800.00		
CC:GOLST-LAWGP	The Goldstein Law Group PC 401(K) Profit Sharing Plan FBO She	73%	2,200,000 ▲		3,533,200.00			
SB:VOLCAF-ICBC0	Volcafe Limited - ICBC	73%		-2,200,000		-3,533,200.00		
CC:HMLYN-LLP00	HAMLYN LP	73%	24,000,000 ▲		38,544,000.00			
SB:VOLCAF-ICBC0	Volcafe Limited - ICBC	73%		-24,000,000		-38,544,000.00		
CC:INDUST-PENGP	Industrial Pension Group Trust	73%	1,577,100 ▲		2,532,822.60			
SB:VOLCAF-ICBC0	Volcafe Limited - ICBC	73%		-1,161,100		-1,864,722.60	YES	

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CC-KAMCO-IPPRG SB:VOLCAF-ICBC	KAMCO LP PROFIT SHARING PLAN FBO Volcafe Limited - ICBC	73%	4,000,000 *		6,424,000.00	-3,212,000.00	YES
CC-KAMCO-INVESTS SB:VOLCAF-ICBC	KAMCO INVESTMENTS INC PENSION PLAN Volcafe Limited - ICBC	73%	2,000,000 *		3,212,000.00	-3,212,000.00	
CC-LINDEN-ADPPL SB:VOLCAF-ICBC	Linden Associates Defined Benefit Plan Volcafe Limited - ICBC	73%	2,150,000 *		3,452,900.00	-3,452,900.00	
CC-MOIRAD-ASSOC SB:VOLCAF-ICBC	MOIRA ASSOCIATES LLC 401K PLAN Volcafe Limited - ICBC	73%	6,000,000 *		9,636,000.00	-5,626,000.00	YES
CC-NSONGF-401PL SB:VOLCAF-ICBC	NewSong Fellowship Church 401K Volcafe Limited - ICBC	73%	2,500,000 *		4,015,000.00	-4,615,000.00	
CC-RIVERS-ASSOC SB:VOLCAF-ICBC	RIVERSIDE ASSOCIATES DEFINED BENEFIT PLAN Volcafe Limited - ICBC	73%	2,150,000 *		3,452,900.00	-3,452,900.00	
CC-SVHOLD-SVHOL SB:VOLCAF-ICBC	SV Holdings LLC Retirement Plan Volcafe Limited - ICBC	73%	1,394,600 *		2,239,727.60	-905,000.00	YES
CC-TEWLLC-TEW00 SB:VOLCAF-ICBC	Tew Enterprises LLC Retirement Plan Volcafe Limited - ICBC	73%	1,712,000 *		2,749,472.05	-1,037,771.60	YES
CC-TEWLPD-TEWLP SB:VOLCAF-ICBC	Tew LP Retirement Plan Volcafe Limited - ICBC	73%	2,116,000 *		3,398,296.00	-822,192.00	YES
CC-TVETER-TVETE SB:VOLCAF-ICBC	Tveter LLC Pension Plan Volcafe Limited - ICBC	73%	1,000,000 *		1,606,000.00	-1,606,000.00	
CC-VOLCAF-ICBC CC-EDFDUB-EDFDU	Volcafe Limited - ICBC ED&F Man Professional Trading (Dubai) Limited	73%	64,300,000		103,265,800.00	-39,955,600.00	
TOTALS		163,445,000	-163,445,000	TOTALS	262,492,670.00	-262,492,670.00	

## **SECURITIES LENDING POSITIONS**

SL CLIENT ACCOUNT	SL CLIENT NAME	INV N	LONG HLDNG	SHORT HLDNG	PAYABLE	RECEIVABLE	CLMNT	NET STR LOAN AMNT	PYMT 1/3	PYMT 1NTH
BRIEFER-INTER	Jefferies International Ltd	85%	950,000		1,776,500.00		YES			
BRMACQUA-MACQU	MACQUARIE BANK LIMITED	85%	400,000		748,000.00		YES			
CAUTOPA-AUTOP	Autoparts Pensions Group Trust	100%	21,800		47,960.00					
CC.TEWLPO-TEWLP	Tew LP Retirement Plan	100%	-128,200		282,040.00					
CPOLLEN-FUNDO	POLLEN FUND LIMITED	100%				-3,309,600.00				
SL TOTALS			1,500,000	-1,500,600	TOTALS	2,854,500.00		-3,309,600.00		

## SWAP POSITIONS

SWP CLIENT ACCOUNT:	SWP CLIENT NAME:	BUY IN	SWP LONG	SWP SHORT	PAYABLE	RECEIVABLE
SWP TOTAL:				TOTALS	0.00	0.00
PREPARED:		DESK SIGN OFF:		DPS MANAGER SIGN OFF: 		
BENT CASH RECEIVED		CLAIMS PAID	JOURNAL NUMBERS UPDATED			FIRE CLOSED CLOSER:

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CLAIMS PAID

JOURNAL NUMBERS UPDATED

FILE CLOSED

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